

FORM PTO-1390
(REV 10-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

01017/LH

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/744,363

INTERNATIONAL APPLICATION NO.
PCT/JP99/03993INTERNATIONAL FILING DATE
26 July 1999PRIORITY DATE CLAIMED
27 July 1998

TITLE OF INVENTION EPITAXIAL GROWTH FURNACE

APPLICANT(S) FOR DO/EO/US Shinji NAKAHARA; Masato IMAI; Masanori MAYUSUMI;
Kazutoshi INOUE & Shintoshi GIMA.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

Express Mail Mailing Label

No.: EL 682 566 388 US

Date of Deposit: February 21, 2001

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

REQUEST FOR PUBLICATION OF
ASSIGNMENT INFORMATION

Sharon Portnoy

02/26/2001 LLANDGRA 00000039 09744363

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130.00 0P

CALCULATIONS **PTO USE ONLY**

International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00

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\$	130.00
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MULTIPLE DEPENDENT CLAIM(S) (if applicable)	+ \$270.00
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☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property

	Amount to be refunded:	\$
	charged:	\$

- a. ☒ A check in the amount of \$ 170.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1378. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

FRISHAUF, HOLTZ, GOODMAN, LANGER & CHICK
767 Third Avenue - 25th floor
New York, N.Y. 10017-2023

SIGNATURE:

Leonard Holzz

NAME _____

22,974

REGISTRATION NUMBER

Dated: February 21, 2001

LH: sp

JCO9 Rec'd PCT/PTO 21 FEB 2001

01017-LH-SP
Ser. No.: 09/744,363
corresponding to PCT/JP99/03993
Deposited: January 23, 2001
Applicant(s): S. NAKAHARA, ET AL
ASSISTANT COMMISSIONER FOR PATENTS
ACKNOWLEDGES RECEIPT OF:
Transmittal Letter PTO-1390 SECOND
SUBMISSION
Preliminary Amendment
Request for Publication of Assignment
Information
PTO-1595 Form, Executed Assignment
Check \$40.00 # 81506
Check \$ 130.00 # 81505
Express Mail EL 682 566 388 US

Mailed: February 21, 2001